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User's Guide for RDA/SQL
Validation Tests (Version 1.0)

Kevin Brady
Joan Sullivan

U.S. DEPARTMENT OF COMMERCE
Technology Administration
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ABSTRACT: The RDA/SQL Validation Tests, developed by NIST, consist of a set of C programs designed to test an RDA/SQL server for conformance to the international standards for Remote Database Access with an SQL Specialization. Testing is limited to the RDA Basic Application Context/Immediate Execution profile defined by the NIST OIW Stable Agreements for OSI Protocols. The validation tests use software tools provided in the public domain ISO Development Environment (ISODE) and has been operating at NIST on TCP/IP networks using the Internet Engineering Task Force (IETF) specification RFC 1006.

KEYWORDS: conformance testing; database standards; interoperability; remote database access; RDA; SQL; RFC 1006; ISODE; testing of software; validation of software

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1. INTRODUCTION

This user's guide describes the RDA/SQL Validation Tests and the procedures needed to test and evaluate an RDA Server implementation for conformance to international standards for Remote Database Access with an SQL Specialization (RDA/SQL).

1.1 Background

The purpose of the RDA specification is to standardize a method for SQL clients to access and modify the data on a remote SQL server. Many SQL products support the client/server architecture, where the user application and the SQL data server reside on separate computers. Each SQL software developer has proprietary formats and protocols for client/server communications. As a result, client and server products from different SQL software developers do not interoperate.

RDA is a standard that describes the communications protocol used between database clients and servers; i.e., what flows "over the wire". An implementation of RDA for a client application can be described as a layer of software supporting the application by formating the application's SQL requests for data into standard messages. The SQL statement itself, along with input parameter values for the SQL statement, is formatted as a structured message. The data returned to the client is then received as a structured message. The returned message could contain little more than a status code to indicate success or failure. Or, the returned message could additionally contain multiple rows of data, along with a table description (including column names, data types, precision, character set, etc.). RDA allows the client application to request the server to convert data values into data types or character sets appropriate to the client application.

An implementation of an RDA server can be described as a layer of software written on top of the SQL server. The RDA server layer of code unpacks the RDA message structure, moving the SQL statement and parameter values into local variables and then interacting with the SQL server to obtain data or to update the database. The data to be returned to the client is reformatted and restructured into an RDA message by the RDA server. Although the RDA server code could be written using a standard Embedded SQL C or CLI interface

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to an SQL database, a native (proprietary) interface to the SQL database is typically chosen by the software developer.

RDA is an international standard that specifies the functionality of a database server within a distributed open systems environment. RDA also specifies the communication service and protocol for accessing its capabilities. RDA is a two-part Standard [1]. Part 1 specifies service and protocol for a generic model. Part 2 specifies the SQL specialization of the service and protocol and refers to the SQL standard [2] to define the SQL language contained in the RDA messages. The structured messages between the client and server use ASN.1 (Abstract Syntax Notation 1, defined in ISO/IEC 8824) to specify their format and the associated binary encoding rules.

RDA is positioned in the Application Layer of the Reference Model of Open Systems Interconnection (OSI) and relies on services provided by lower layers.

Because of this layered approach, RDA service requirements can also be mapped to other communications protocols, such as TCP/IP. The current NIST laboratory environment for the RDA/SQL Validation Tests uses the Internet Engineering Task Force (IETF) specification RFC 1006 to interoperate with other RDA/SQL clients and servers over the Internet. Figure 1 shows the RDA service layers for client/server architectures, although, in theory, an RDA client or service product could support both TCP/IP and OSI protocols, it is probable that each product will support only one of the protocols.

CLIENT

APPLICATION PROGRAMS	/	RDA CLIENTS
RDA		
RFC1006	Full OSI Stack	
TCP/IP		

SERVER

TCP/IP	Full OSI Stack
RFC1006	
TSAPd (Transport Selector Daemon)	
RDA	
Database	

Figure 1. RDA Service Layers for Client/Server Architectures

Although a thorough test of the database server's support for the SQL language is also needed, this set of tests does not attempt that level of testing. Instead, a separate evaluation of the SQL server should be conducted using the NIST SQL Test Suite [3].

The NIST RDA/SQL laboratory environment in which the validation tests were developed is part of an RDA testbed announced in the February 8, 1994, Federal Register. As a result of research into RDA by NIST and other organizations, additional prototype tools and demonstration software have been developed:

    distributed query processor
    GUI monitor for distributed query processor
    RDA server for Informix
    RDA server for Oracle
    RDA server for Sybase
    RDA sniffer
    RDA GUI client
    RDA applications

The distributed query processor and GUI monitor, as well as RDA

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servers for SQL products (Informix, Oracle, and Sybase), are enhancements of software donated by NRaD (Naval Ocean Surveillance R&D, San Diego, CA).

1.2 Scope of Testing

All of the test programs validate standard behavior of an RDA server with access to an SQL database; i.e., the RDA server layer of code is not tested in stand-alone mode. Also, an RDA client product that may be sold with the RDA server, is not tested by these programs.

Fourteen different message types are defined in the RDA standard to provide five services. These services and messages are:
>   Dialogue Management services (to start and end RDA dialogues)
>> Initialize
>> Synchronize
>> Terminate
>   Transaction Management services (to start and end RDA transactions)
>> Begin Transaction
>> Commit
>> Rollback
>   Control services (to report the status or cancel existing operations)
>> Cancel
>> Status
>   Resource Handling services (to enable or disable access by RDA clients to data resources)
>> Open
>> Close
>   Database language services (to access and modify data resources)
>> Execute DBL (immediate execution)
>> Define DBL
>> Invoke DBL
>> Drop DBL

Two application contexts are defined for RDA dialogues, depending on whether or not the application requires distributed transactions (transactions spanning two or more servers). Transaction processing services (TP), invoking two-phase commit protocols, are

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defined in a separate TP standard [4]. The two application contexts are called:

RDA Basic application-context
RDA TP application-context

Testing is limited to a minimal profile, selecting from the above options only those needed for the simplest access to the full SQL language. The profile selected for testing was defined cooperatively in the NIST Open Systems Environment (OSE) Implementors Workshops (OIW) Stable Implementation Agreements for OSI Protocols [5]. The profile used in RDA/SQL testing is called "Immediate Execution." This profile requires the following functional units: dialogue initialization, dialogue termination, transaction management, resource handling, and immediate execution DBL. Testing is also restricted to RDA Basic Application Context. The OIW RDA agreements provide additional restrictions and specify min-max limits.

Although the RDA standards and the OIW agreements specify access over OSI networks, the RDA/SQL Validation Tests were developed in a TCP/IP laboratory environment and remote testing of products was accomplished over the Internet. In theory, all the software developed by NIST for RDA/SQL testing can be deployed in a strict OSI environment after minor configuration steps.

The SQL/RDA test programs are not comprehensive, due to limited resources. The goal of the set of tests is to ensure that straight-forward SQL access will be successful. The focus of testing has been on exercising the options of the Execute DBL message type (which carries the SQL request and the SQL data) and verifying support for min-max limits. Only modest effort was expended on testing other message types and error conditions.

2. STRUCTURE OF RDA/SQL VALIDATION TESTS

2.1 Description of RDA/SQL Validation Tests

The RDA/SQL Validation Tests are a collection of 74 C-language programs, each testing a specific feature or requirement of the RDA standard. The test programs make liberal use of a library of subroutines, provided in a separate directory. The testbed of tables and data used by the test programs is created by an

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initialization program containing SQL statements to create tables and insert rows. Since test programs may alter the testbed, there is another program to assist in restoring the testbed to its initial state. This program simply deletes SQL objects, in order to allow the initialization program to rerun cleanly. Reporting for the RDA/SQL Validation Tests consists of capturing the "PASS" or "FAIL" lines from the program logs and combining these lines into a summary printout.

The RDA/SQL Validation Tests were developed using ISODE tools. Softcopy of the RDA SQL Specialization ASE ASN.1 Module, defined on page 35 of the standard ISO/IEC 9579-2:1993, was used by the ISODE tools to generate C-language header files and encoding/decoding subroutines. These subroutines are used by the test programs. ISODE tools also provide the RFC 1006 communications mapping software needed to run over TCP/IP protocols in the NIST laboratory and over the Internet. In order to execute the RDA/SQL Validation Tests, it is necessary to install the ISODE tools first.

Makefiles and scripts to assist in creating and running the test executables in a Unix environment are provided with the validation tests. For non-Unix platforms, the tester will need to create similar makefiles and scripts. Since Unix platforms are widely available, we recommend installing the validation tests (the RDA/SQL client) on a Unix platform. Keep in mind that the RDA/SQL client and the RDA/SQL server to be tested do NOT need to be compatible platforms. They need have nothing in common except the ability to support the RDA/SQL protocol over TCP/IP (or some other communications protocol).

2.2 System Requirements

In order to install and execute the validation tests successfully, the following are suggested:

- o ISO conforming RDA server (on the server side)
- o ANSI/ISO conforming SQL database (on the server side)
- o ISODE Version 8.0 (installed on the client side)
- o ANSI/ISO conforming C compiler (on the client side)

Since the RDA/SQL Validation Tests are designed to exercise the features of the RDA server rather than the other components above, a C compiler or SQL database which is not fully conforming may

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still be adequate.

2.3 Directory Structure

The files are organized in two directories. The top directory is called CONF (for conformance testing), and it contains only one subdirectory, LIB. Directory CONF contains all the test programs, a Makefile, and a header file (testing.h) for the test programs. The subdirectory LIB contains all the support subroutines called by the test programs to initialize, terminate, encode, decode, build customized messages, check return values, etc.

2.4 File Naming Conventions

The following are naming conventions used in directories CONF and LIB:

RDAxxx.c	a test program
RDAxxx.rpt	a summary of the log created by the program
RDAxxx.log	the log created by executing the program

Please refer to the appendicies for examples as follows:

Appendix A	Sample RDA/SQL test Program
Appendix B	Sample Test Program Report
Appendix C	Sample Test Program Log

Makefiles, subroutines, and header files follow C-language naming conventions. The program to initialize the SQL tables and data is called CREATE.c. The program to drop tables prior to a clean restart is called DROP.c. The text file README.out is documentation of the test specifications for the test programs. README.out is generated by program build_readme.c which extracts documentation coded as comments in the individual test programs.

3. INSTALLATION

The RDA/SQL Validation Tests software is publicly available over the World Wide Web from the URL

http://www.nist.gov/itl/div897/ctg/rda_form.htm

The test software is a compressed Unix TAR archive. Since the test software is built using ISODE tools, the ISODE compressed Unix TAR archive should also be downloaded from the same site. After downloading, uncompressing, and extracting from the TAR archives,

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the next step is to build the test programs.

Install the ISODE tools according to the instructions provided.

There are two files that need to be edited to identify the RDA server(s) to be tested. File isoentities (in the root directory of ISODE) must specify P-selector, S-selector, T-selector, IP address and (optional) port. File load.c (in directory LIB) must specify host name (IP address) and service.

Review the Makefiles in directories LIB and CONF to point to the ISODE library header files. In directory LIB, type "make" to create the C-language library file libconf.a. In the directory CONF, type "make" to create all the C test programs and to execute them in the correct order. Typically, this order is DROP and CREATE, followed by all of the test programs in alphabetical order. Program REPORT is run last to summarize the results from executing the test programs. For additional information about the results of an individual program, read the ".log" and ".rpt" text files created by the test program.

To make a single executable, e.g., RDA001, type "make RDA001". To execute a single executable, e.g., RDA001, type "RDA001 hostname", where hostname matches one of the host names in file load.c.

4. REPORTING

Program REPORT summarizes the results in the log files. The test programs are listed in ascending order with a result value of pass, fail, missing, or aborted. Subtotals for each category are printed at the end of the report. See Appendix D for a sample report.

Program REPORT reads the README.out file to obtain one-line descriptions for the test programs, so program build_readme should be run prior to program REPORT. The REPORT output file name is REPORT.log.

5. MAINTENANCE

Although the test programs have been debugged and executed on several RDA servers, errors in interpretation or in coding are

possible. Questions about the RDA/SQL Validation Tests should be directed to:

Joan M. Sullivan
National Institute of Standards and Technology
Bldg. 820, Room 562
Gaithersburg, MD 20899
phone: (301) 975-3258
FAX: (301) 948-6213
joan.sullivan@nist.gov

Maintenance of corrected or enhanced programs will consist of replacing the original program in the online Unix TAR archive. A maintenance log, containing the date and description of each modification, will be available online in the file named update.log.

6. REFERENCES

[1]   ISO/IEC 9579. Open Systems Interconnection - Remote Database Access (RDA), Part 1: Generic Model and Part 2: SQL Specialization, International Standard IOS/IEC 9579:1993, American National Standard ANSI/ISO/IEC 9579:1993, American National Standards Institute, New York, NY 10036, December 1993.

[2]   ISO/IEC 9075. Database Language SQL, International Standard ISO/IEC 9075:1992, American National Standard X3.135-1992, American National Standards Institute, New York, NY 10036, November 1992.

[3]   NIST OIW. Stable Implementation Agreements for OSI Protocols, Version 6, Edition 1, NIST Open Systems Environment Workshop, document NIST SP 500-206, December 1992. Part 19 - Remote Database Access.

[4]   NIST SQL Test Suite validation procedures online at URL ftp://speckle.ncsl.nist.gov/sql-testing/PrevalPaperwork/procedur.val

[5]   ISO/IEC 10026. Distributed Transaction Processing (TP) - Part

1: Model, Part 2: Service Definition, and Part 3: Protocol Specification (to be published).

[6] SQL Environments, Federal Information Processing Standards Publication, FIPS PUB 193, U.S. Department of Commerce, National Institute of Standards and Technology, February 3, 1995.

APPENDIX A   Sample RDA/SQL Test Program

```
#include "testing.h"

char      *name = "RDA025";

/**************************************************************************
4.1.7.1.1 R-ExecuteDBL Service

NOTE:     The Sniffer will wait on an r-ExecuteDBL-RC for each r-
          ExecuteDBL-RI sent to the server.  The server "fails" if
          it does not respond within the timeout period (some large
          default value) and tester does not justify failure to
          respond (e.g., network went down).

0025 CT   4 SQLDBLArgumentValues -> 4 sQLDBExceptions, DELETE

!         DELETE FROM RX
          WHERE DRUG_ID = :H AND PAYMENT_METHOD = :H
          in:[ArgVal:
                7444  'cash'
                7444  'xxxx'
                0000  'cash'
                7004  'insu']

          out:[SQLCODE/SQLSTATE:
                  0  '00000'
                100  '02000'
                100  '02000'
                  0  '00000']
          ROLLBACK WORK

*************************************************************************/


main (argc, argv)
   int        argc;
   char     **argv;
{
   int        get_host ();

/***                                              ***/
/************** Connect to the host *****************/
/***** Make sure host_under_test is set to host ********/
/***                                              ***/
   connect_to_host (argv);

/***                                              ***/
/***    Send an initialize and wait for a response   ***/
/***                                              ***/

   fill_initialize_ri ();
```

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```
   strcpy (APDU_0.UserAuData, username);
   strcpy (APDU_0.IdeOfUser, password);
   encode_initialize_ri ();
   delay (CA);
   check_errors ("Initialize-request");

/***                                          ***/
/***     Send an open and wait for a response ***/
/***                                          ***/
   fill_open_ri ();
   strcpy (APDU_15.DataResName, username);
   strcpy (APDU_15.UserAuData, password);
   encode_open_ri ();
   delay (CO);
   check_errors ("Open-request");

/***                                          ***/
/***     Send a begin Transaction, no response ***/
/***                                          ***/
   fill_begintrans_ri ();
   encode_begintrans_ri ();
   check_errors ("Begin-transaction-request");

/***                                          ***/
/***     Send an EXECECUTE and wait for a response ***/
/***                                          ***/
   fill_executeDBL_ri ();
   strcpy (APDU_19.StatTex, "DELETE FROM RX WHERE DRUG_ID = :H AND PAYMENT_METHOD = :H");
   check_sql_string (APDU_19.StatTex);
   APDU_19.multiple_args = TRUE;
    add_to_value_list(choice_RDA_17_integerType, "7444", NULL, 4, int_RDA_precisionBase_decimal,
NULL);
    add_to_value_list(choice_RDA_17_characterType, "cash", NULL, 4, 1, NULL);
    add_to_value_list(choice_RDA_17_integerType, "7444", NULL, 4, int_RDA_precisionBase_decimal,
NULL);
    add_to_value_list(choice_RDA_17_characterType, "xxxx", NULL, 4, 1, NULL);
    add_to_value_list(choice_RDA_17_integerType, "0000", NULL, 4, int_RDA_precisionBase_decimal,
NULL);
    add_to_value_list(choice_RDA_17_characterType, "cash", NULL, 4, 1, NULL);
    add_to_value_list(choice_RDA_17_integerType, "7004", NULL, 4, int_RDA_precisionBase_decimal,
NULL);
    add_to_value_list(choice_RDA_17_characterType, "insu", NULL, 4, 1, NULL);
   encode_execute_ri ();
   wait_for_response ();

   check_resval (1, NULLCP, NULLINT, 0, "00000");
   check_resval (2, NULLCP, NULLINT, 100, "02000");
   check_resval (3, NULLCP, NULLINT, 100, "02000");
   check_resval (4, NULLCP, NULLINT, 0, "00000");
   check_errors ("Execute-request");

/***                                          ***/
/***     Rollback, close, terminate and disconnect ***/
```

```
/***                                        ***/
   shutdown (host,"R");
   summary();
   check_test_status();
}
```

APPENDIX B   Sample Test Program Report

```
9/23 15:47:28 RDA025    05904 (kevin   )  Test RDA025 starting - using host = rda
9/23 15:47:31 RDA025    05904 (kevin   )  Initialize-request: Passed
9/23 15:47:32 RDA025    05904 (kevin   )  Open-request: Passed
9/23 15:47:32 RDA025    05904 (kevin   )  Begin-transaction-request: Passed
9/23 15:47:33 RDA025    05904 (kevin   )  Execute-request: Passed
     9/23      15:47:33     RDA025                05904      (kevin
-----------------------------------------------------------------
 9/23 15:47:33 RDA025   05904 (kevin    )  ----------------    Summary RDA Syntax Checker Repor
-------------
     9/23      15:47:33     RDA025                05904      (kevin
-----------------------------------------------------------------
9/23 15:47:33 RDA025    05904 (kevin   )
9/23 15:47:33 RDA025    05904 (kevin   )
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Initialize Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Initialize Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Syncronize Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Terminate  Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Terminate  Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Begintrans Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Begintrans Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Commit     Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Commit     Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Rollback   Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Rollback   Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Cancel     Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Cancel     Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Status     Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Status     Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Open       Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Open       Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Close      Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Close      Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Execute    Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 1 Execute    Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Define     Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Define     Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Invoke     Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Invoke     Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Drop       Requests found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Checked 0 Drop       Confirms found 0 bad.
9/23 15:47:33 RDA025    05904 (kevin   )  Test RDA025 Complete.
9/23 15:47:33 RDA025    05904 (kevin   )  RDA025 : Completion Status: PASSED.
```

APPENDIX C   Sample Test Program Log

```
9/23 15:47:28 RDA025    05904 (kevin    )  Loading Hosts database.
9/23 15:47:28 RDA025    05904 (kevin    )  Test RDA025 starting - using host = rda
9/23 15:47:28 RDA025    05904 (kevin    )  A-Associate-REQUEST sent to rda

9/23 15:47:28 RDA025    05904 (kevin    )  A-ASSOCIATE.REQUEST:
9/23 15:47:28 RDA025    05904 (kevin    )  Context : "iso sql rda"
9/23 15:47:28 RDA025    05904 (kevin    )  AEI     : <1.1.3.9999.9579,,,>
9/23 15:47:28 RDA025    05904 (kevin    )  Paddress: '0415'H/Internet=129.6.59.29
9/23 15:47:30 RDA025    05904 (kevin    )  A-ASSOCIATE.CONFIRMATION:
9/23 15:47:30 RDA025    05904 (kevin    )  File Descriptor  : 5
9/23 15:47:30 RDA025    05904 (kevin    )  Reason: Accepted
9/23 15:47:30 RDA025    05904 (kevin    )  Diagnostic: Rejected by service-user: null
9/23 15:47:30 RDA025    05904 (kevin    )  Context : 1.0.9579.2.2.1
9/23 15:47:30 RDA025    05904 (kevin    )  Connected to to rda

9/23 15:47:30 RDA025    05904 (kevin    )  wrote --------- RDA-Initialize-Request ---------
RDA-APDU {
   RDA-APDU {
      r-Initialize-RI {
         operationID 1,
         r-Initialize-req {
            dialogueIDSuffix {
               ostring "SQL1"
            },
            identityOfUser "dqp",
            userAuthenticationData {
               ostring "dqp"
            },
            controlServiceDataRequested TRUE,
                functionalUnitsRequested { termination, transaction, cancel, status, resource,
immediate-DBL, stored-DBL },
            sQLInitializeArgument {
               sQLConformanceLevelDefault "ISO-SQL-1992-LOW-CONFORMANCE",                     ~
               userData "NIST RDA CLient"
            }
         }
      }
   }
}
-------
9/23 15:47:30 RDA025    05904 (kevin    )  --------- RDA-Initialize-Request ---------
9/23 15:47:31 RDA025    05904 (kevin    )  STATE: CA
9/23 15:47:31 RDA025    05904 (kevin    )  read --------- RDA-Initialize-Result ----------, context
1
RDA-APDU {
   RDA-APDU {
      r-Initialize-RC {
         operationID 1,
         res-or-err {
            r-Initialize-res {
```

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```
                    controlServiceData {
                       controlServicesAllowed FALSE
                    },
                        functionalUnitsAllowed { termination, transaction, cancel, status, resource
immediate-DBL, stored-DBL },
                    sQLInitializeResult {
                       userData "NIST RDA Server: rda.ncsl.nist.gov - Oracle 7.2.1"
                    }
                 }
              }
           }
        }
}
-------
 9/23 15:47:31 RDA025    05904 (kevin    )  ---------- RDA-Initialize-Result ----------
 9/23 15:47:31 RDA025    05904 (kevin    )  Operation ID          : 1
 9/23 15:47:31 RDA025    05904 (kevin    )  control service allowed : FALSE
  9/23 15:47:31 RDA025      05904 (kevin    )   User Data                       : NIST RDA Server
rda.ncsl.nist.gov - Oracle 7.2.1
 9/23 15:47:31 RDA025    05904 (kevin    )  Funtional Units Present :
 9/23 15:47:31 RDA025    05904 (kevin    )                              Termination
 9/23 15:47:31 RDA025    05904 (kevin    )                              Transaction
 9/23 15:47:31 RDA025    05904 (kevin    )                              Cancel
 9/23 15:47:31 RDA025    05904 (kevin    )                              Status
 9/23 15:47:31 RDA025    05904 (kevin    )                              Resource
 9/23 15:47:31 RDA025    05904 (kevin    )                              Immediate__DBL
 9/23 15:47:31 RDA025    05904 (kevin    )                              Stored__DBL
 9/23 15:47:31 RDA025    05904 (kevin    )  Initialize-request: Passed
 9/23 15:47:31 RDA025    05904 (kevin    )  wrote ---------- RDA-Open-Request ----------
RDA-APDU {
   RDA-APDU {
      r-Open-RI {
         operationID 2,
         r-Open-req {
            dataResourceHandle 1,
            dataResourceName "dqp",
            sQLAccessControlData {
               ostring "dqp"
            },
            sQLUsageMode 1,
            sQLOpenArgument {
               charSet "ISO-OIW-CHARACTER-SET",
               sQLConformanceLevel "ISO-SQL-1992-LOW-CONFORMANCE"
            }
         }
      }
   }
}
-------
 9/23 15:47:31 RDA025    05904 (kevin    )  ---------- RDA-Open-Request ----------
 9/23 15:47:32 RDA025    05904 (kevin    )  read ---------- RDA-Open-Result ----------, context 1
RDA-APDU {
   RDA-APDU {
```
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```
        r-Open-RC {
            operationID 2,
            res-or-err {
                r-Open-res {
                    sQLOpenResult {
                        charSet "ISO-OIW-CHARACTER-SET",
                        charSetNotSupported FALSE (DEFAULT BOOLEAN)
                    }
                }
            }
        }
    }
}
-------
 9/23 15:47:32 RDA025    05904 (kevin   )  ---------- RDA-Open-Result ----------
 9/23 15:47:32 RDA025    05904 (kevin   )  RDA Open Result:
 9/23 15:47:32 RDA025    05904 (kevin   )  Operation Id       : 2
 9/23 15:47:32 RDA025    05904 (kevin   )  Character Set       : 1.0.9568.2.0.1
 9/23 15:47:32 RDA025    05904 (kevin   )  Open-request: Passed
 9/23 15:47:32 RDA025    05904 (kevin   )  wrote ---------- RDA-BeginTransaction-Request ----------
RDA-APDU {
    RDA-APDU {
        r-BeginTransaction-RI {
            operationID 4,
            r-BeginTransaction-req NULL
        }
    }
}
-------
 9/23 15:47:32 RDA025    05904 (kevin   )  ---------- RDA-BeginTransaction-Request ----------
 9/23 15:47:32 RDA025    05904 (kevin   )  Begin-transaction-request: Passed
 9/23 15:47:32 RDA025    05904 (kevin   )  wrote ---------- RDA-Execute-Request ----------
RDA-APDU {
    RDA-APDU {
        r-ExecuteDBL-RI {
            operationID 5,
            r-ExecuteDBL-req {
                dataResourceHandle 1,
                sQLDBLStatement {
                    statementText "DELETE FROM RX WHERE DRUG_ID = :H AND PAYMENT_METHOD = :H"
                },
                sQLDBLArgumentSpecification {
                    listOfSQLDataTypeDescriptor {
                        SQLDataTypeDescriptor {
                            nullable FALSE,
                            typeDescriptor {
                                integerType {
                                    precision 4,
                                    precisionBase 1
                                }
                            }
                        },
                        SQLDataTypeDescriptor {
```

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```
               nullable FALSE,
               typeDescriptor {
                   characterType {
                       length 4,
                       fixedLengthEncoding TRUE
                   }
               }
           }
       }
   },
   dblArguments {
       multipleArgument {
           listOfSQLDBLArgumentValues {
               SQLDBLArgumentValues {
                   listOfSQLValue {
                       SQLValue {
                           dataItem {
                               integerItem 7444
                           }
                       },
                       SQLValue {
                           dataItem {
                               characterItem "cash"
                           }
                       }
                   }
               },
               SQLDBLArgumentValues {
                   listOfSQLValue {
                       SQLValue {
                           dataItem {
                               integerItem 7444
                           }
                       },
                       SQLValue {
                           dataItem {
                               characterItem "xxxx"
                           }
                       }
                   }
               },
               SQLDBLArgumentValues {
                   listOfSQLValue {
                       SQLValue {
                           dataItem {
                               integerItem 0
                           }
                       },
                       SQLValue {
                           dataItem {
                               characterItem "cash"
                           }
                       }
                   }
```

```
                    }
                },
                SQLDBLArgumentValues {
                    listOfSQLValue {
                        SQLValue {
                            dataItem {
                                integerItem 7004
                            }
                        },
                        SQLValue {
                            dataItem {
                                characterItem "insu"
                            }
                        }
                    }
                }
            }
        }
    }
}
}
-------
 9/23 15:47:32 RDA025    05904 (kevin    ) ---------- RDA-Execute-Request ----------
 9/23 15:47:33 RDA025    05904 (kevin    ) STATE: CT
 9/23 15:47:33 RDA025    05904 (kevin    ) read ---------- RDA-Execute-Result ----------, context
1
RDA-APDU {
    RDA-APDU {
        r-ExecuteDBL-RC {
            operationID 5,
            res-or-err {
                r-ExecuteDBL-res {
                    listOfResultValues {
                        ResultValues {
                            sQLDBLException {
                                sQLSTATE "00000",
                                sQLCODE 0,
                                sQLErrorText "no data: (no subclass)"
                            }
                        },
                        ResultValues {
                            sQLDBLException {
                                sQLSTATE "02000",
                                sQLCODE 100,
                                 sQLErrorText "ORA-01403: no data found
        "
                            }
                        },
                        ResultValues {
                            sQLDBLException {
                                sQLSTATE "02000",
```

```
                                sQLCODE 100,
                                sQLErrorText "ORA-01403: no data found
            "
                        }
                    },
                ResultValues {
                    sQLDBLException {
                        sQLSTATE "00000",
                        sQLCODE 0,
                        sQLErrorText "ORA-01403: no data found
        "
                    }
                }
            }
        }
    }
  }
}
-------
```

```
9/23 15:47:33 RDA025    05904 (kevin    )  ---------- RDA-Execute-Result ----------
9/23 15:47:33 RDA025    05904 (kevin    )  <-------------------------------->
9/23 15:47:33 RDA025    05904 (kevin    )   Checking Result # 1
9/23 15:47:33 RDA025    05904 (kevin    )   SQLSTATE expected      = 00000 : successful completion
(no subclass)
9/23 15:47:33 RDA025    05904 (kevin    )   SQLSTATE received      = 00000 : successful completion
(no subclass)
9/23 15:47:33 RDA025    05904 (kevin    )   SQLcode  expected      = 0 : successful completion.
9/23 15:47:33 RDA025    05904 (kevin    )   SQLcode  received      = 0 : successful completion.
9/23 15:47:33 RDA025    05904 (kevin    )   SQL Error Text         = no data: (no subclass)
9/23 15:47:33 RDA025    05904 (kevin    )  <-------------------------------->
9/23 15:47:33 RDA025    05904 (kevin    )  <-------------------------------->
9/23 15:47:33 RDA025    05904 (kevin    )   Checking Result # 2
9/23 15:47:33 RDA025    05904 (kevin    )   SQLSTATE expected      = 02000 : no data: (no subclass)
9/23 15:47:33 RDA025    05904 (kevin    )   SQLSTATE received      = 02000 : no data: (no subclass)
9/23 15:47:33 RDA025    05904 (kevin    )   SQLcode  expected      = 100 : no data.
9/23 15:47:33 RDA025    05904 (kevin    )   SQLcode  received      = 100 : no data.
9/23 15:47:33 RDA025    05904 (kevin    )   SQL Error Text         = ORA-01403: no data found

9/23 15:47:33 RDA025    05904 (kevin    )  <-------------------------------->
9/23 15:47:33 RDA025    05904 (kevin    )  <-------------------------------->
9/23 15:47:33 RDA025    05904 (kevin    )   Checking Result # 3
9/23 15:47:33 RDA025    05904 (kevin    )   SQLSTATE expected      = 02000 : no data: (no subclass)
9/23 15:47:33 RDA025    05904 (kevin    )   SQLSTATE received      = 02000 : no data: (no subclass)
9/23 15:47:33 RDA025    05904 (kevin    )   SQLcode  expected      = 100 : no data.
9/23 15:47:33 RDA025    05904 (kevin    )   SQLcode  received      = 100 : no data.
9/23 15:47:33 RDA025    05904 (kevin    )   SQL Error Text         = ORA-01403: no data found

9/23 15:47:33 RDA025    05904 (kevin    )  <-------------------------------->
9/23 15:47:33 RDA025    05904 (kevin    )  <-------------------------------->
9/23 15:47:33 RDA025    05904 (kevin    )   Checking Result # 4
9/23 15:47:33 RDA025    05904 (kevin    )   SQLSTATE expected      = 00000 : successful completion:
(no subclass)
```

```
 9/23 15:47:33 RDA025    05904 (kevin   )   SQLSTATE received      = 00000 : successful completion:
(no subclass)
 9/23 15:47:33 RDA025    05904 (kevin   )   SQLcode  expected      = 0 : successful completion.
 9/23 15:47:33 RDA025    05904 (kevin   )   SQLcode  received      = 0 : successful completion.
 9/23 15:47:33 RDA025    05904 (kevin   )   SQL Error Text         = ORA-01403: no data found

 9/23 15:47:33 RDA025    05904 (kevin   )   <--------------------------------->
 9/23 15:47:33 RDA025    05904 (kevin   )   Execute-request: Passed
 9/23 15:47:33 RDA025    05904 (kevin   )   wrote ---------- RDA-Rollback-Request ----------
RDA-APDU {
   RDA-APDU {
      r-Rollback-RI {
         operationID 6,
         r-Rollback-req NULL
      }
   }
}
-------
 9/23 15:47:33 RDA025    05904 (kevin   )   ---------- RDA-Rollback-Request ----------
 9/23 15:47:33 RDA025    05904 (kevin   )   STATE: CA
 9/23 15:47:33 RDA025    05904 (kevin   )   read ---------- RDA-Rollback-Result ----------, context
1
RDA-APDU {
   RDA-APDU {
      r-Rollback-RC {
         operationID 6,
         res-or-err {
            r-Rollback-res NULL
         }
      }
   }
}
-------
 9/23 15:47:33 RDA025    05904 (kevin   )   ---------- RDA-Rollback-Result ----------
 9/23 15:47:33 RDA025    05904 (kevin   )   wrote ---------- RDA-Close-Request ----------
RDA-APDU {
   RDA-APDU {
      r-Close-RI {
         operationID 7,
         r-Close-req {
            listOfDataResourceHandle {
               DataResourceHandle 1
            }
         }
      }
   }
}
-------
 9/23 15:47:33 RDA025    05904 (kevin   )   ---------- RDA-Close-Request ----------
 9/23 15:47:33 RDA025    05904 (kevin   )   read ---------- RDA-Close-Result ----------, context 1
RDA-APDU {
   RDA-APDU {
      r-Close-RC {
```

```
                    operationID 7,
                    res-or-err {
                        r-Close-res {}
                    }
                }
            }
        }
        -------
         9/23 15:47:33 RDA025   05904 (kevin   )  ---------- RDA-Close-Result ----------
         9/23 15:47:33 RDA025   05904 (kevin   )  wrote ---------- RDA-Terminate-Request ----------
        RDA-APDU {
           RDA-APDU {
              r-Terminate-RI {
                 operationID 8,
                 r-Terminate-req NULL
              }
           }
        }
        -------
         9/23 15:47:33 RDA025   05904 (kevin   )  ---------- RDA-Terminate-Request ----------
         9/23 15:47:33 RDA025   05904 (kevin   )  STATE: Inactive
         9/23 15:47:33 RDA025   05904 (kevin   )  read ---------- RDA-Terminate-Result ----------, context
        1
        RDA-APDU {
           RDA-APDU {
              r-Terminate-RC {
                 operationID 8,
                 res-or-err {
                    r-Terminate-res NULL
                 }
              }
           }
        }
        -------
         9/23 15:47:33 RDA025   05904 (kevin   )  ---------- RDA-Terminate-Result ----------
         9/23 15:47:33 RDA025   05904 (kevin   )  A-RELEASE.REQUEST      : <normal>
         9/23 15:47:33 RDA025   05904 (kevin   )  A-RELEASE.CONFIRMATION :
         9/23 15:47:33 RDA025   05904 (kevin   )  Status: released - normal
         9/23 15:47:33 RDA025   05904 (kevin   )  Released conection to rda

             9/23      15:47:33      RDA025              05904      (kevin              )
        -----------------------------------------------------------------------
         9/23 15:47:33 RDA025   05904 (kevin   )  ----------------  Summary RDA Syntax Checker Report
        -------------
             9/23      15:47:33      RDA025              05904      (kevin              )
        -----------------------------------------------------------------------
         9/23 15:47:33 RDA025   05904 (kevin   )
         9/23 15:47:33 RDA025   05904 (kevin   )
         9/23 15:47:33 RDA025   05904 (kevin   )  Checked 1 Initialize Requests found 0 bad.
         9/23 15:47:33 RDA025   05904 (kevin   )  Checked 1 Initialize Confirms found 0 bad.
         9/23 15:47:33 RDA025   05904 (kevin   )  Checked 0 Syncronize Requests found 0 bad.
         9/23 15:47:33 RDA025   05904 (kevin   )  Checked 1 Terminate  Requests found 0 bad.
         9/23 15:47:33 RDA025   05904 (kevin   )  Checked 1 Terminate  Confirms found 0 bad.
```

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```
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 1 Begintrans Requests found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Begintrans Confirms  found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Commit     Requests found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Commit     Confirms  found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 1 Rollback   Requests found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 1 Rollback   Confirms  found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Cancel     Requests found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Cancel     Confirms  found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Status     Requests found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Status     Confirms  found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 1 Open       Requests found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 1 Open       Confirms  found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 1 Close      Requests found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 1 Close      Confirms  found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 1 Execute    Requests found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 1 Execute    Confirms  found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Define     Requests found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Define     Confirms  found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Invoke     Requests found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Invoke     Confirms  found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Drop       Requests found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Checked 0 Drop       Confirms  found 0 bad.
9/23 15:47:33 RDA025     05904 (kevin    )    Test RDA025 Complete.
9/23 15:47:33 RDA025     05904 (kevin    )    RDA025 : Completion Status: PASSED.
```

APPENDIX D   Sample Summary Report

```
                RDA/SQL Test Suite
                for RDA Server on rda
                Mon Sep 23 16:42:14 1996

PROGRAM  RESULT    Description of Test Case
-------  -------   ------------------------

RDA001   pass      singleArg, multipleArg missing -> 1 listOfResultValues

RDA002   pass      repetitionCount default is 1

RDA003   fail      repetitionCount = 10 -> 10 sQLDBExceptions, FETCH

RDA004   pass      repetitionCount = 3 -> sQLDBExceptions, INSERT

RDA005   pass      4 SQLDBLArgumentValues -> 4 sQLDBExceptions, SELECT

RDA006   pass      OPEN undeclared cursor name (syntax error)

RDA007   fail      cursor declaration survives CLOSE

RDA008   pass      cursor declaration survives ROLLBACK

RDA009   pass      cursor declaration survives COMMIT

RDA010   pass      null charSet on R-Open -> each charSet has objId, CURSOR

RDA011   pass      null charSet on R-Open -> each charSet has objId, SELECT

RDA012   pass      ConformanceLevel <1987>, objId 1.0.9075.0

RDA013   aborted   ConformanceLevel <1989> without IEF, objId 1.0.9075.1.0.1

RDA014   pass      ConformanceLevel <1989> with IEF, objId 1.0.9075.1.1.1

RDA015   pass      ConformanceLevel <1992> low, objId 1.0.9075.2.0

RDA016   fail      Table10.5b&6a: DECLARE ArgSpec, OPEN ResSpec

RDA017   fail      Table10.5a&6a: DECLARE ArgSpec override by OPEN, OPEN ResSpec
RDA018   fail      Table10.5a&6b: OPEN ArgSpec, OPEN ResSpec

RDA019   fail      Table10.2a.2b.3b: DECLARE ArgSpec, FETCH overrides OPEN ResSpec

RDA020   fail      Table10.2a.2b.3b: OPEN overrides DECLARE ArgSpec, FETCH overrides

RDA021   fail      Table10.2a.2b.3b: OPEN ArgSpec, FETCH overrides OPEN ResSpec

RDA022   pass      Table10.4a: SELECT ResSpec sent by client
```

RDA023	pass	Table10.4b: SELECT ResSpec ommitted by client
RDA024	pass	repetitionCount = 3 -> sQLDBExceptions, UPDATE
RDA025	pass	4 SQLDBLArgumentValues -> 4 sQLDBExceptions, DELETE
RDA026	pass	ERROR for ROLLBACK WORK in r-ExecuteDBL-RI
RDA027	pass	ERROR for COMMIT WORK in r-ExecuteDBL-RI
RDA028	pass	ERROR for INSERT with explicit sQLUsageMode = retrieval
RDA029	pass	ERROR for CURSOR UPDATE explicit sQLUsageMode = retrieval
RDA030	pass	ERROR for UPDATE WHERE explicit sQLUsageMode = retrieval
RDA031	pass	ERROR for DELETE WHERE explicit sQLUsageMode = retrieval
RDA032	pass	ERROR for CURSOR DELETE explicit sQLUsageMode = retrieval
RDA033	pass	SIZING SQL statementText 4000 octets long
RDA034	pass	SIZING charSet 16 elements long in ArgSpec
RDA035	pass	SIZING 100 entries in ArgSpec and ArgVal
RDA036	fail	Check that NULLS can be inserted and retrieved.
RDA037	pass	SIZING 100 entries of SQLDataTypeDescriptor in ResSpec-RI
RDA038	pass	SIZING repetitionCount = 64
RDA039	pass	SIZING 64 entries of SQLDBLArgumentValues in ArgVal
RDA040	pass	SIZING smallintType decimal precision 4
RDA041	pass	SIZING charSet 16 elements long in ResSpec-RI
RDA042	pass	SIZING integerType decimal precision 9
RDA043	pass	SIZING 64 entries of ResultValueslist
RDA044	pass	SIZING 100 entries of SQLValue in SQLDBLResultValues
RDA045	pass	SIZING colName 18 characters long
RDA046	pass	SIZING length (characters) 240 (Including null terminator)
RDA047	pass	SIZING numericType (15,0) (cut to (2**31 -1))
RDA048	fail	SIZING decimalType precision 8 scale 8
RDA049	missing	SIZING integerType decimal precision 9

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Kevin Brady
Joan Sullivan
September 1996

U.S. DEPARTMENT OF COMMERCE
Technology Administration
National Institute of Standards and Technology
Gaithersburg, MD   20899

User's Guide for the RDA/SQL Validation Tests (Version 1.0)

Kevin Brady
Joan Sullivan
September 1996

ABSTRACT: The RDA/SQL Validation Tests, developed by NIST, consist of a set of C programs designed to test an RDA/SQL server for conformance to the international standards for Remote Database Access with an SQL Specialization. Testing is limited to the RDA Basic Application Context/Immediate Execution profile defined by the NIST OIW Stable Agreements for OSI Protocols. The validation tests use software tools provided in the public domain ISO Development Environment (ISODE) and has been operating at NIST on TCP/IP networks using the Internet Engineering Task Force (IETF) specification RFC 1006.

```
RDA050   pass      SIZING integerType binary precision 31

RDA051   pass      SIZING smallintType decimal precision 4

RDA052   pass      SIZING smallintType binary precision 15

RDA053   pass      ERROR noDataResourceAvailable for CREATE TABLE

RDA054   fail      CREATE TABLE R-ExecuteDBL in transaction

RDA055   pass      CREATE VIEW R-ExecuteDBL in transaction

RDA056   pass      GRANT R-ExecuteDBL in transaction

RDA057   fail      ERROR duplicateOperationID

RDA058   fail      CREATE TABLE R-ExecuteDBL outside transaction

RDA059   pass      CREATE VIEW R-ExecuteDBL outside transaction

RDA060   pass      GRANT R-ExecuteDBL outside transaction

RDA061   fail      ERROR SELECT R-executeDBL outside transaction

RDA062   fail      ERROR DELETE R-executeDBL outside transaction

RDA063   fail      ERROR INSERT R-executeDBL outside transaction

RDA064   fail      ERROR UPDATE R-executeDBL outside transaction

RDA065   fail      ERROR CURSOR statements R-executeDBL outside transaction

RDA066   pass      ERROR invalidSequence

RDA067   fail      ERROR for CREATE TABLE with explicit sQLUsageMode = retrieval
RDA068   pass      ERROR for GRANT with explicit sQLUsageMode = retrieval

RDA069   fail      ERROR hostIdentifierError -- :X instead of :H

RDA070   pass      ERROR sQLDBLArgumentCountMismatch

RDA071   fail      ERROR sQLDBLArgumentTypeMismatch

RDA072   pass      ERROR sQLDBLNoCharSet in ArgSpec

RDA073   pass      ERROR badRepetitionCount

RDA074   pass      ERROR dataResourceHandleUnknown
```

Summary of Test Cases

```
tests passed:      0051
tests failed:      0021
tests missing:     0001
tests aborted:     0001

TOTAL tests:       0074
```
